# Learning to Detect Adversarial Examples Based on Class Scores

Tobias Uelwer, Felix Michels, and Oliver De Candido

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 $+ 0.01 \cdot$ 



adv. image



"lion"

"broccoli"

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"lion"

"broccoli"

- · General problem in deep learning methods
- · Dangerous in safety-critical applications

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#### **Problem Formulation**

1. Trained classification network

$$f_{\text{NN}}(\boldsymbol{X}; \{\boldsymbol{\theta}\}) = \underset{i}{\operatorname{arg\,max}} \underbrace{\operatorname{softmax}(\boldsymbol{z}^{(\text{NN})}(\boldsymbol{X}))_i}_{\text{class scores: } F(\boldsymbol{X})}$$

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$$\tilde{\boldsymbol{X}} = \boldsymbol{X} + \boldsymbol{\Delta} \in [0, 1]^{N \times N}$$

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2. Adversarial perturbation

$$\tilde{\boldsymbol{X}} = \boldsymbol{X} + \boldsymbol{\Delta} \in [0, 1]^{N \times N}$$

3. How do we find  $\Delta$ ?

$$\min_{\boldsymbol{\Delta}} \| \underbrace{\tilde{\boldsymbol{X}} - \boldsymbol{X}}_{\boldsymbol{\Delta}} \|_{p} \quad \text{s.t.} \quad f_{\text{NN}}(\tilde{\boldsymbol{X}}; \{\boldsymbol{\theta}\}) \neq f_{\text{NN}}(\boldsymbol{X}; \{\boldsymbol{\theta}\})$$

with  $p = 0, 1, 2, \infty$ 

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#### Categorization

· Targeted vs untargeted attacks

 $f_{\mathrm{NN}}(\tilde{\boldsymbol{X}}; \{\boldsymbol{\theta}\}) = \hat{y}$ 

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 $f_{\rm NN}(\tilde{\boldsymbol{X}}; \{\boldsymbol{\theta}\}) = \hat{y}$ 

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$$f_{\rm NN}(\tilde{\boldsymbol{X}}; \{\boldsymbol{\theta}\}) = \hat{y}$$

- One-shot vs iterative attacks
- · White-box vs black-box attacks

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#### In This Work:

- 1. Fast Gradient Sign Method (FGSM):
- 2. Basic Iterative Method (BIM):
- 3. Boundary:
- 4. Carlini-Wagner (CW):

(un-)targeted, one-shot, white-box (un-)targeted, iterative, white-box (un-)targeted, iterative, black-box (un-)targeted, iterative, white-box

# Fast Gradient Sign Method (FGSM) Attack [1]

Cost function used to train the NN (e.g., cross entropy loss)

 $J(\pmb{X}, y_{\rm true}, \pmb{\theta})$ 

<sup>[1]</sup> Goodfellow, I.J., Shlens, J., Szegedy, C.: Explaining and harnessing adversarial examples. arXiv preprint arXiv:1412.6572 (2014)

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### FGSM Attack [1]

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Calculate perturbation

$$\boldsymbol{\Delta} = \varepsilon \operatorname{sign}(\nabla_{\boldsymbol{X}} J(\boldsymbol{X}, y_{\mathsf{true}}, \boldsymbol{\theta}))$$

with gradient w.r.t. input image  $\boldsymbol{X}$  and hyperparameter  $\varepsilon > 0$ 

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· Adversarial example

$$\tilde{\boldsymbol{X}} = \boldsymbol{X} + \underbrace{\varepsilon \operatorname{sign}(\nabla_{\boldsymbol{X}} J(\boldsymbol{X}, y_{\mathsf{true}}, \boldsymbol{\theta}))}_{\boldsymbol{\Delta}} \in [0, 1]^{N \times N}$$

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Basic Iterative Method (BIM) Attack [2] hhu. TIM

Iterative extension of FGSM

$$\begin{split} \tilde{\boldsymbol{X}}_0 &= \boldsymbol{X} \\ \tilde{\boldsymbol{X}}_{t+1} &= \mathcal{P}_{\varepsilon} \left( \tilde{\boldsymbol{X}}_t + \underbrace{\alpha \operatorname{sign}(\nabla_{\tilde{\boldsymbol{X}}_t} J(\tilde{\boldsymbol{X}}_t, y_{\mathsf{true}}, \boldsymbol{\theta}))}_{\boldsymbol{\Delta}_t} \right) \end{split}$$

for  $t = 0, \ldots, T$  and step-size  $\alpha > 0$  with  $\alpha T = \varepsilon$ 

<sup>[2]</sup> Kurakin, A., Goodfellow, I., Bengio, S.: Adversarial examples in the physical world. arXiv preprint arXiv:1607.02533 (2016)

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### BIM Attack [2]

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for  $t=0,\ldots,T$  and step-size  $\alpha>0$  with  $\alpha T=\varepsilon$ 

•  $\mathcal{P}_{arepsilon}$  projects the current iterate back onto a arepsilon- $L_p$  ball around  $oldsymbol{X}$ 

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### Boundary Attack [3]

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- · Black-box attack (no gradients necessary, only model evaluations)
- Iterative method starting with  $[\mathbf{\Delta}_0]_{i,j} \sim \mathcal{U}(0,1)$

 $ilde{m{X}}_0 = m{\Delta}_0$  (must be misclassified) $ilde{m{X}}_{t+1} = ilde{m{X}}_t + m{\Delta}_{t+1}$ 

for t = 0, ..., T - 1

<sup>[3]</sup> Brendel, W., Rauber, J., Bethge, M.: Decision-based adversarial attacks: Reliable attacks against black-box machine learning models. In: International Conference on Learning Representations (2018), https://openreview.net/forum?id=SyZI0GWCZ

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for t = 0, ..., T - 1

- Perturbations calculated by random walk along the boundary with conditions 1.  $\tilde{X}_{t+1} \in [0,1]^{N \times N}$ 
  - 2.  $\frac{\| \boldsymbol{\Delta}_{t+1} \|_F}{d(\tilde{\boldsymbol{X}}_t, \boldsymbol{X})} = \gamma$  (The perturbation has a specific relative size.)

3.  $\frac{d(\tilde{\mathbf{X}}_{t},\mathbf{X})-d(\tilde{\mathbf{X}}_{t+1},\mathbf{X})}{d(\tilde{\mathbf{X}}_{t},\mathbf{X})} = \nu$  (The distance is decreased by a realtive amount.) with a distance metric d and hyperparameters  $\gamma, \nu > 0$ .

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## Carlini-Wagner (CW) Attack [4]

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Solve constrained optimization problem

$$\begin{split} \min_{\boldsymbol{\Delta}} \|\boldsymbol{\Delta}\|_p + c \cdot \max \left\{ \max_{j \neq y_{\mathsf{true}}} [\boldsymbol{z}^{(\mathrm{NN})} (\boldsymbol{X} + \boldsymbol{\Delta})]_j - [\boldsymbol{z}^{(\mathrm{NN})} (\boldsymbol{X})]_{y_{\mathsf{true}}}, -\kappa \right\} \\ \text{s.t.} \quad \boldsymbol{X} + \boldsymbol{\Delta} \in [0, 1]^{N \times N} \end{split}$$

where  $c, \kappa > 0$ 

- Control confidence with  $\kappa$ 

<sup>[4]</sup> Carlini, N., Wagner, D.: Towards evaluating the robustness of neural networks. In: 2017 ieee symposium on security and privacy (sp). pp. 39–57. IEEE (2017)

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where  $c, \kappa > 0$ 

- Control confidence with  $\kappa$
- Introduce auxiliary variable W where

$$\boldsymbol{\Delta} = \frac{1}{2}(\tanh(\boldsymbol{W}) + 1) - \boldsymbol{X}$$

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- Solve unconstrained optimization problem w.r.t.  $\boldsymbol{W}$ 

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#### Categorization

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#### Categorization

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#### Benefits of Adversarial Attack Detection

- · Post-hoc approach: no influence on model training
- · Easy to implement

### **Detecting Adversarial Examples**

$$f_{\mathrm{NN}}(\boldsymbol{X}; \{\boldsymbol{\theta}\}) = \operatorname*{arg\,max}_{i} \underbrace{\operatorname{softmax}(\boldsymbol{z}^{(\mathrm{NN})}(\boldsymbol{X}))_{i}}_{\operatorname{class\,scores:}\, F(\boldsymbol{X})}$$

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## **Detecting Adversarial Examples**

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#### **Our Detection Method**

- 1. Construct adversarial image set  $\mathcal{X}_{\mathsf{adv}} = \{\tilde{X}_1, \dots, \tilde{X}_M\}$  from training set  $\mathcal{X}_{\mathsf{train}} = \{X_1, \dots, X_M\}$
- 2. Train Support Vector Machine (SVM)  $T_{\rm SVM}$  on normalized class scores training set

$$\begin{split} \mathcal{X}_{\text{scores}} &= \{ (F(\boldsymbol{X}_1), +1), \dots, (F(\boldsymbol{X}_M), +1), \\ & (F(\tilde{\boldsymbol{X}}_1), -1), \dots, (F(\tilde{\boldsymbol{X}}_M), -1) \} \end{split}$$

3. At test time use  $T_{\rm SVM}$  to detect adversarial examples based on class scores

## Evaluation

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#### **Experimental Setup**

- CIFAR 10 dataset
- Three pre-trained classification models (VGG-Net, GoogLeNet, ResNet)
- Four untargeted adversarial attacks (FGSM, BIM, Boundary, CW)
- Combinations of two attacks (CW+BIM, CW+FGSM, Boundary+BIM, Boundary+FGSM)

<sup>[5]</sup> Kwon, H., Kim, Y., Yoon, H., Choi, D.: Classification score approach for detecting adversarial example in deep neural network. Multimedia Tools and Applications80(7), 10339–10360 (2021)

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#### **Reference Algorithm**

• Kwon et al. [5] : Threshold the difference between the largest and second largest normalized class scores. Threshold is learned using a decision stump.

<sup>[5]</sup> Kwon, H., Kim, Y., Yoon, H., Choi, D.: Classification score approach for detecting adversarial example in deep neural network. Multimedia Tools and Applications80(7), 10339–10360 (2021)

#### **Attack Results**

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	Accuracy on adversarial examples			Average perturbation norm			
Attack	VGG19	GoogLeNet	ResNet18	VGG19	GoogLeNet	ResNet18	
FGSM	39.97%	39.85%	40.18%	17.6232	0.2575	2.7183	
BIM	5.17%	4.29%	4.49%	8.9903	0.0484	0.2303	
Boundary	8.99%	25.75%	1.39%	0.0515	0.0209	0.0849	
CW	4.75%	0.55%	0.30%	0.2461	0.0140	0.0559	
Orig. Acc.	93.95%	92.85%	93.07%	-	-	-	

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		Accuracy		$F_1$ score	
Model	Attack	Kwon et al. [5]	Ours	Kwon et al. [5]	Ours
VGG19	FGSM	71.60%	82.08%	68.43%	82.05%
	BIM	85.20%	98.70%	84.47%	98.69%
	Boundary	<b>97.53%</b>	96.30%	<b>97.44%</b>	96.25%
	CW	89.90%	90.05%	89.99%	90.16%
GoogLeNet	FGSM	72.60%	76.05%	73.69%	74.48%
	BIM	81.50%	83.60%	77.88%	82.38%
	Boundary	<b>96.50%</b>	95.50%	<b>96.35%</b>	95.45%
	CW	93.65%	93.80%	93.58%	93.76%
ResNet18	FGSM	70.40%	<b>72.58%</b>	69.23%	<b>71.37%</b>
	BIM	85.48%	<b>89.48%</b>	83.68%	<b>88.96%</b>
	Boundary	<b>97.20%</b>	96.28%	<b>97.10%</b>	96.19%
	CW	93.53%	<b>93.58%</b>	93.63%	<b>93.65%</b>

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### Detection Results (Multiple Attacks)

		Accuracy		$F_1$ Score	
Model	Attack	Kwon et al. [5]	Ours	Kwon et al. [5]	Ours
	CW+BIM	67.38%	89.90%	54.80%	90.08%
	CW+FGSM	80.75%	83.65%	79.90%	83.14%
VGG19	Boundary+BIM	73.45%	95.88%	63.73%	95.85%
	Boundary+FGSM	82.45%	85.80%	81.92%	84.85%
	CW+BIM	70.93%	84.08%	59.66%	83.92%
Googl oNot	CW+FGSM	79.68%	82.35%	79.28%	81.37%
GoogLeinei	Boundary+BIM	73.60%	84.93%	63.89%	84.57%
	Boundary+FGSM	78.93%	80.93%	78.53%	79.58%
ResNet18	CW+BIM	70.45%	88.30%	60.49%	88.40%
	CW+FGSM	78.68%	79.33%	79.03%	79.52%
	Boundary+BIM	72.73%	90.05%	62.16%	89.61%
	Boundary+FGSM	77.93%	78.85%	78.31%	77.76%

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### Conclusion

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#### Conclusion

- · Detecting adversarial attacks only by looking at the class score distribution
- Empirical evaluation of various state-of-the-art adversarial attacks on different classification models
- · Improved class score based adversarial attack detection
- The proposed detection method can detect mixtures of attacks



### Thank you for your attention!

Any questions?